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Department of Insurance  
State of Idaho

*Attorneys for the Department of Insurance*

**BEFORE THE DIRECTOR OF THE DEPARTMENT OF INSURANCE**

**STATE OF IDAHO**

In the Matter of:

HUMANA HEALTH PLAN, INC.,  
Third Party Administrator License No. 145695,  
  
Respondent.

Docket No. 18-2810-12

**STIPULATION RESOLVING  
VIOLATIONS OF IDAHO  
INSURANCE CODE**

The parties herein, namely the Idaho Department of Insurance (“**Department**”) and its counsel of record, Richard B. Burleigh, Deputy Attorney General, and HUMANA HEALTH PLAN, INC., Third Party Administrator License No. 145695 (“**RESPONDENT**”), do hereby agree and stipulate to the following facts and conclusions of law:

1. That the Director of the Idaho Department of Insurance has jurisdiction over this matter pursuant to title 41, Idaho Code.
2. That **RESPONDENT** was granted Third Party Administrator (“**TPA**”) License No. 145695 effective December 1, 2006, pursuant to the previous version of chapter 9, title 41, Idaho Code, which chapter was replaced in its entirety in 2010 by the current version of chapter 9, title 41, Idaho Code.

3. That RESPONDENT's TPA License No. 145695 is scheduled to expire as of December 31, 2012.

4. That RESPONDENT was notified by letter dated February 22, 2012, and sent by U.S. First Class Mail, postage prepaid, that it would be required to file either a home state license application pursuant to Idaho Code § 41-911 or a non-resident application pursuant to Idaho Code § 41-912. The notice informed RESPONDENT that the filing fee for the application would be waived by the Department.

5. That RESPONDENT failed to file an application for either a home state or non-resident TPA license pursuant to the requirements of chapter 9, title 41, Idaho Code, and the Department's February 2012 notice.

6. That, pursuant to Idaho Code § 41-914, all licensed TPAs are required to file an annual report with the Department on or before July 1 of each year.

7. That, on or about July 5, 2012, RESPONDENT was notified by letter sent by certified mail that it had failed to file the required annual report and that no request for an extension of time had been received by the Department.

8. That the Department's July 5, 2012 letter required RESPONDENT to file the annual report by July 26, 2012.

9. That RESPONDENT received and signed for the certified July 5, 2012 letter on July 9, 2012.

10. That RESPONDENT failed to file its annual report at any time prior to or after July 26, 2012.

11. That RESPONDENT continues to operate as an administrator in Idaho notwithstanding its failure to comply with the requirements of the Idaho Code.

12. That, pursuant to Idaho Code § 41-915(2)(a), the Department may seek revocation

of RESPONDENT's TPA license for failure to comply with the Idaho Code

13. That, pursuant to Idaho Code §§ 41-117 and 41-915(4), the Department may seek general penalties against RESPONDENT of up to five thousand dollars (\$5,000.00) per violation of the Idaho Insurance Code.

14. That, in lieu of a contested enforcement action the parties agree that this matter can be brought to a close by a negotiated and stipulated settlement.

15. RESPONDENT therefore agrees as follows:

- a. RESPONDENT shall pay a five hundred dollar (\$500.00) penalty to the Department within ten (10) business days following the execution of this Stipulation.
- b. RESPONDENT shall file an application for either a home state license pursuant to Idaho Code § 41-911 or a non-resident license pursuant to Idaho Code § 41-912 within thirty (30) days from the execution of this Stipulation.
- c. In connection with the application in item (b) above, RESPONDENT shall also pay a \$300 application fee to be submitted with the application.
- d. RESPONDENT shall file an annual report as required by Idaho Code § 41-914 within thirty (30) days from the execution of this Stipulation.
- e. If RESPONDENT fails to comply with the preceding, RESPONDENT agrees that the Department may suspend or revoke RESPONDENT's TPA license without further notice or hearing.

16. Nothing in this Stipulation shall affect the Department's review of RESPONDENT's TPA license application, which review and approval, if appropriate, shall proceed in the Department's normal course.

17. RESPONDENT acknowledges that it has had the opportunity to consult with

counsel concerning this Stipulation. RESPONDENT waives its right to notice and hearing at which it may be represented by counsel, present evidence and examine witnesses. RESPONDENT waives its right to submit this matter for review by a court of competent jurisdiction.

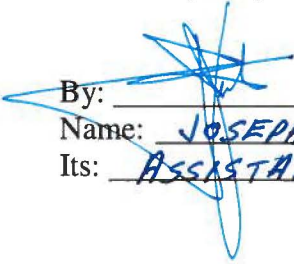
18. The parties agree that the terms of this Stipulation are appropriate and proper under the circumstances referenced herein, and that they have entered into this Stipulation knowingly, voluntarily and with full knowledge of any rights they may be waiving thereby.

19. The parties hereby waive the right to seek reconsideration and judicial review of this Stipulation or the violations of the Idaho Code referenced herein.

[Signatures on following pages.]

AGREED THIS 13<sup>th</sup> day of Nov., 2012.

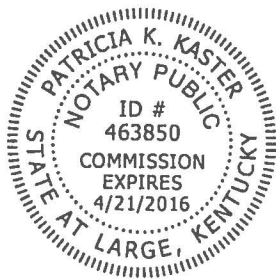
HUMANA HEALTH PLAN, INC.,  
a Kentucky corporation

By:   
Name: JOSEPH C. VENTURA  
Its: ASSISTANT SECRETARY

STATE OF KENTUCKY )  
 ) ss.  
County of JEFFERSON )

On this 13<sup>th</sup> day of Nov., 2012, before me, the undersigned, a Notary Public in and for said State, personally appeared JOSEPH C. VENTURA the ASSISTANT SECRETARY of HUMANA HEALTH PLAN, INC., a Kentucky corporation, who executed the foregoing instrument on behalf of said company, and acknowledged to me that the said instrument is the free and voluntary act and deed of said company, for the uses and purposes therein mentioned.

WITNESS MY HAND and official seal hereto affixed the day, month and year in this certificate first above written.



Patricia K. Kaster  
Notary Public in and for the  
State of Kentucky  
Residing at \_\_\_\_\_  
My commission expires: \_\_\_\_\_

AGREED THIS 16<sup>th</sup> day of November, 2012.

IDAHO DEPARTMENT OF INSURANCE

By: Georgia Siehl  
Georgia Siehl, CPA, CFE  
Bureau Chief, Chief Examiner  
Idaho Department of Insurance

Approved as to Form:

OFFICE OF THE ATTORNEY GENERAL  
STATE OF IDAHO

By: Richard B. Burleigh  
Richard B. Burleigh  
Deputy Attorney General  
Attorney for the Idaho Department of Insurance

**IT IS SO ORDERED.**

DATED this 16<sup>th</sup> day of November, 2012.

STATE OF IDAHO  
DEPARTMENT OF INSURANCE

for William W. Deal Dep. Director  
WILLIAM W. DEAL  
Director

**CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that on this 16<sup>th</sup> day of November, 2012, I caused a true and correct fully-executed copy of the foregoing STIPULATION RESOLVING VIOLATIONS OF IDAHO INSURANCE CODE to be served on the following by the designated means:

Humana Health Plan, Inc.  
Attn: Compliance Department  
321W. Main Street, WFP7E  
Louisville, KY 40202-4283

☒ first class mail  
☐ certified mail  
☐ hand delivery  
☐ via facsimile

Richard B. Burleigh  
Deputy Attorney General  
Idaho Department of Insurance  
700 W. State Street, 3<sup>rd</sup> Floor  
P.O. Box 83720  
Boise, ID 83720-0043

☐ first class mail  
☐ certified mail  
☒ hand delivery  
☐ via facsimile

  
Teresa Jones