

Appendix A-4

SUPPLEMENTAL REPORT INSTRUCTIONS

Complete this section ONLY if death benefit proceeds were paid

- Question S1.** List the settlement number, case number or unique identifying number used to identify this specific life settlement transaction.
- Question S2.** List the date the life settlement contract was purchased by the provider.
- Question S3.** List the age (in years) of the person insured by the policy being sold at the time of the life settlement contract.
- Question S4.** List the life expectancy (in months) of the person insured by the policy being sold at the time of the life settlement contract.
- Question S5.** List the net amount (in dollars) paid to the policy owner/seller.
- Question S6.** Date of death of the person insured by the policy.
- Question S7.** List the difference (in months) between the date shown in question S2 and the date shown in
- Question S6.** Date of death of the person insured by the policy.
- Question S8.** List the number of months between the life expectancy of the Insured at the time of contract and the insured's date of death. This should be noted as a plus (+) figure if the insured died after the estimated life expectancy or a minus (-) if the insured died prior to the estimated life expectancy.
- Question S9.** Total amount of death benefit collected.
- Question S10.** List the total amount of premiums (in dollars) paid to the insurer to maintain the policy, from the Life Settlement contract date to the date of death.