Applicant Company Name :				NAIC No FEIN:			
					·		
			BIOG	RAPHICAL AF	FIDAVIT		
To the	extent pe	rmitted by la	w, this affidavit will be	kept confidential	by the state insurance	regulatory aut	hority.
				(Print or Type	2)		
			hone number of the pro Names).				
	(_ 0 0 0 0						
hereina	fter set f	orth. (Attach	ove-named entity, I had addendum or separatone," SO STATE.				
1.	Affiant	's Full Name	e (Initials Not Acceptab	le): First:	Middle:	Last:	
2.	a.	Are you a	citizen of the United Sta	ates?			
		Yes	No				
	b.	Are you a	citizen of any other cou	ntry?			
		Yes	No				
		If yes, wha	t country?				
3.	Affiant	's occupation	n or profession:				
4.	Affiant	's business a	ddress:				
	Busines	ss telephone:		Busine	ss Email:		
5.	Educati	on and traini	ing:				
College	e/Univers	ity	<u>City/State</u>		Dates Attended (MM	<u> 1/YY)</u>	Degree Obtained
Gradua	ate Studie	<u>S</u> <u>C</u>	ollege/University	City/State	Dates Attended (MM	<u>1/YY)</u>	Degree Obtained
Other 7	Training:	Name	<u>City/State</u>	Dates Attended	(MM/YY)	Degree/Cer	tification Obtained

Note: If affiant attended a foreign school, please provide full address and telephone number of the college/university. If applicable, provide the foreign student Identification Number in the space provided in the Biographical Affidavit Supplemental Information.

Applic	cant Company Nam	e:		PENI	
6.	List of members	hips in professiona	al societies and associa	ations:	
	Name of Society/Associated	ion <u>C</u>	Contact Name	Address of Society/Association	Telephone Number of Society/Association
7.					
8.	List complete e including preser officerships). Pl	mployment record at jobs, positions, pease list the most i	for the past twenty partnerships, owner of recent first. Attach add	an entity, administrator, ma	sated or otherwise (up to and nager, operator, directorates or vided is insufficient. It is only
Begins Dates	ning/Ending (MM/YY):		Employer's Name:		
Addre	ss:		_ City:	State/Provinc	e:
Count	ry:	Postal Code:	Phone:	Offices/Positions	Held:
Type o	of Business:		Superviso	or/Contact:	
Begini Dates	ning/Ending (MM/YY):	- :	Employer's Name:		
Addre	ess:		_ City:	State/Provinc	e:
Count	ry:	Postal Code:	Phone:	Offices/Positions I	Held:
Type o	of Business:		Superviso	or/Contact:	
Begins Dates	ning/Ending (MM/YY):		Employer's Name:		
Addre	ss:		_ City:	State/Province	»:
Count	ry:	Postal Code:	Phone:	Offices/Positions I	Held:
Type o	of Business:		Superviso	or/Contact:	
	ning/Ending (MM/YY):		Employer's Name:		
Addre	ss:		_City:	State/Province	»:
Count	ry:	Postal Code:	Phone:	Offices/Positions I	Held:
Type o	of Business:		Superviso	or/Contact:	

Applica	ant Comp	pany Name :			NAIC No FEIN:
9.	a.	Have you ev	er been in a position wh	nich required a fidelity bond	
		Yes	No		
		If any claims	s were made on the bond	d, give details:	
	b.	Have you evrevoked?	ver been denied an ind	ividual or position schedule	e fidelity bond, or had a bond canceled or
		Yes	No		
		If yes, give o	letails:		
10.	or gove in the p the lice numbe are rea represe	ernmental licer past. For any no ensing authority r is your Social sonably identifiented by your f the space pro	asing agency or regulated on-insurance regulatory or regulatory body hat I Security Number (SSN fiable as your SSN, the SSN. (For example, "Syded is insufficient.	ory authority or licensing autissuer, identify and provide ving jurisdiction over the licensing of the provide syour SSN or an write SSN for that portion SSN", "12-SSN-345" or "12"	enses to sell securities) issued by any public thority that you presently hold or have held the name, address and telephone number of cense (s) issued. If your professional license my sequence of more than five numbers that of the professional license number that is 234-SSN" (last 6 digits)). Attach additional
Organiz	zation/Is				
City: _		Sta	te/Province:	Country:	Postal Code:
License	e Type:		License #:	Date Issu	ed (MM/YY):
Date Ex	xpired (N	/M/YY):	Reason i	for Termination:	
Non-In	surance l	Regulatory Pho	one Number (if known):		
Organiz	zation/Is	suer of License	:	Address:	
City: _		Sta	te/Province:	Country:	Postal Code:
License	e Type:		License #:	Date Issu	ed (MM/YY):
Date Ex	xpired (N	ИМ/ΥΥ):	Reason i	for Termination:	
Non-In	surance l	Regulatory Pho	one Number (if known):		
11.				has been sealed or expunged may respond "no" to the qu	, and the affiant has personally verified that testion. Have you ever:
	a.			essional, or vocational licens amental licensing agency?	se or permit by any regulatory authority, or
		Yes	No		
	b.			, or vocational license or pe	rmit you hold or have held, been subject to

	ompany Name :	NAIC No FEIN:	
	Yes	No	
c.		on probation or had a fine levied against you or your occupational, professional, omit in any judicial, administrative, regulatory, or disciplinary action?	or vocation
	Yes	No	
d.	Been char	with, or indicted for, any criminal offense(s) other than civil traffic offenses?	
	Yes	No	
e.	Pled guil offenses?	or nolo contendere, or been convicted of, any criminal offense(s) other than	n civil traf
	Yes	No	
f.	suspended	tion of guilt withheld, had a sentence imposed or suspended, had pronouncement r been pardoned, fined, or placed on probation, for any criminal offense(s) ot	
	traffic off	es?	
	traffic off Yes	No	
g.	Yes Been subject t administrative regulating the		other coun
g.	Yes Been subject t administrative regulating the	No cease and desist letter or order, or enjoined, either temporarily or permanently, in gulatory, or disciplinary action, from violating any federal, state law or law of ar siness of insurance, securities or banking, or from carrying out any particular state.	other count
g.	Yes Been subject t administrative regulating the practices in the Yes	No cease and desist letter or order, or enjoined, either temporarily or permanently, in gulatory, or disciplinary action, from violating any federal, state law or law of ar siness of insurance, securities or banking, or from carrying out any particular ourse of the business of insurance, securities or banking? No last ten (10) years, a party to any civil action involving dishonesty, breach	other coun ar practice
	Yes Been subject t administrative regulating the practices in the Yes Been, within	No cease and desist letter or order, or enjoined, either temporarily or permanently, in gulatory, or disciplinary action, from violating any federal, state law or law of ar siness of insurance, securities or banking, or from carrying out any particular ourse of the business of insurance, securities or banking? No last ten (10) years, a party to any civil action involving dishonesty, breach	other count ar practice
	Yes Been subject t administrative regulating the practices in the Yes Been, within financial disputation Yes Had a finding provisions of	No cease and desist letter or order, or enjoined, either temporarily or permanently, in gulatory, or disciplinary action, from violating any federal, state law or law of ar siness of insurance, securities or banking, or from carrying out any particularies of the business of insurance, securities or banking? No last ten (10) years, a party to any civil action involving dishonesty, breach	of trust, or
h.	Yes Been subject t administrative regulating the practices in the Yes Been, within financial disputation Yes Had a finding provisions of	No cease and desist letter or order, or enjoined, either temporarily or permanently, in gulatory, or disciplinary action, from violating any federal, state law or law of ar siness of insurance, securities or banking, or from carrying out any particular ourse of the business of insurance, securities or banking? No last ten (10) years, a party to any civil action involving dishonesty, breach No ade by the Comptroller of any state or the Federal Government that you have all loan laws, banking or trust company laws, or credit union laws, or that you	of trust, or
h.	Yes Been subject t administrative regulating the practices in the Yes Been, within financial disputives Had a finding provisions of any rule or regulating the practices in the Yes	No cease and desist letter or order, or enjoined, either temporarily or permanently, in gulatory, or disciplinary action, from violating any federal, state law or law of ar siness of insurance, securities or banking, or from carrying out any particular ourse of the business of insurance, securities or banking? No last ten (10) years, a party to any civil action involving dishonesty, breach No ade by the Comptroller of any state or the Federal Government that you have all loan laws, banking or trust company laws, or credit union laws, or that you tion lawfully made by the Comptroller of any state or the Federal Government?	of trust, or violated as have violated
h.	Yes Been subject t administrative regulating the practices in the Yes Been, within financial disputives Had a finding provisions of any rule or regulating the practices in the Yes	cease and desist letter or order, or enjoined, either temporarily or permanently, in gulatory, or disciplinary action, from violating any federal, state law or law of ar siness of insurance, securities or banking, or from carrying out any particularise of the business of insurance, securities or banking? No last ten (10) years, a party to any civil action involving dishonesty, breach No ade by the Comptroller of any state or the Federal Government that you have all loan laws, banking or trust company laws, or credit union laws, or that you tion lawfully made by the Comptroller of any state or the Federal Government?	of trust, or violated a have violat

12. List any entity subject to regulation by an insurance regulatory authority that you control directly or indirectly. The term "control" (including the terms "controlling," "controlled by" and "under common control with") means the possession, direct or indirect, of the power to direct or cause the direction of the management and policies of a person, whether through the ownership of voting securities, by contract other than a commercial contract for goods or non-management services, or otherwise, unless the power is the result of an official position with or corporate office held by the person. Control shall be presumed to exist if any person, directly or indirectly, owns, controls,

ii Con	npany Name : _	NAIC No FEIN:
		to vote, or holds proxies representing, ten percent (10%) or more of the voting securities of an
If any	of the stock is	pledged or hypothecated in any way, give details.
or of regularized direct	record, 10% o atory authority,	embers of your immediate family individually or cumulatively subscribe to or own, beneficial or more of the outstanding shares of stock of any entity subject to regulation by an insurance or its affiliates? An "affiliate" of, or person "affiliated" with, a specific person, is a person they through one or more intermediaries, controls, or is controlled by, or is under common contractified.
Yes	No	
	, please identifutstanding votin	y the company or companies in which the cumulative stock holdings represent 10% or more ng securities.
If any	of the shares o	of stock are pledged or hypothecated in any way, give details.
Have	you ever been a	adjudged a bankrupt?
Yes	No	
If yes	provide details	3:
Т		·
comn	nittee member, you served in s	has any company or entity for which you were an officer or director, trustee, investme key management employee or controlling stockholder, had any of the following events occurs capacity? ed a permit, license, or certificate of authority by any regulatory authority, or governmentations.
comn	nittee member, you served in s Been refuse	has any company or entity for which you were an officer or director, trustee, investme key management employee or controlling stockholder, had any of the following events occurs capacity? ed a permit, license, or certificate of authority by any regulatory authority, or governmentations.
comn	nittee member, you served in s Been refuse licensing ag Yes Had its perr to any judi	has any company or entity for which you were an officer or director, trustee, investme key management employee or controlling stockholder, had any of the following events occurs occurs capacity? ed a permit, license, or certificate of authority by any regulatory authority, or governmentationcy? No mit, license, or certificate of authority suspended, revoked, canceled, non-renewed, or subjected icial, administrative, regulatory, or disciplinary action (including rehabilitation, liquidation, conservatorship, federal bankruptcy proceeding, state insolvency, supervision or any oth
comn while a.	Been refused licensing ag Yes Had its perruto any judiceceivership	has any company or entity for which you were an officer or director, trustee, investme key management employee or controlling stockholder, had any of the following events occurs occurs capacity? ed a permit, license, or certificate of authority by any regulatory authority, or governmentationcy? No mit, license, or certificate of authority suspended, revoked, canceled, non-renewed, or subjected icial, administrative, regulatory, or disciplinary action (including rehabilitation, liquidation, conservatorship, federal bankruptcy proceeding, state insolvency, supervision or any oth
comn while a.	Been refuse licensing ag Yes Had its perr to any judi receivership similar proce	has any company or entity for which you were an officer or director, trustee, investme key management employee or controlling stockholder, had any of the following events occursuch capacity? ed a permit, license, or certificate of authority by any regulatory authority, or governmentationcy? No mit, license, or certificate of authority suspended, revoked, canceled, non-renewed, or subjected icial, administrative, regulatory, or disciplinary action (including rehabilitation, liquidation, conservatorship, federal bankruptcy proceeding, state insolvency, supervision or any oth reeding)?

Applicant Company Name:	NAIC No FEIN:		
	please indicate and give details. When responding to questions (b) and thin twelve (12) months after his or her departure from the entity.		
Note: If an affiant has any doubt about the accuracy of an a and an explanation provided.	enswer, the question should be answered in the positive		
Dated and signed this day of 20 under penalty of perjury that I am acting on my own behalf and that the of my knowledge and belief.	at I hereby certify ne foregoing statements are true and correct to the best		
(Signature of Affiant)			
State of: County of:			
The foregoing instrument was acknowledged before me thisday o and:	f, 20 by,		
who is personally known to me, or			
who produced the following identification:	·		
[SEAL]	Notary Public		
	Printed Notary Name		
	My Commission Expires		

Applicant Company Name:	NAIC No.
	FEIN:

BIOGRAPHICAL AFFIDAVIT Supplemental Personal Information

	(Print or	Type)			
To the	extent permitted by law, this affidavit will be kept confid	ential by the state insurance regulatory authority.			
	full name, address, and telephone number of the present or proposed entity under which this biographical statement is being equired (Do Not Use Group Names).				
1.	Affiant's Full Name (Initials Not Acceptable): First: IF ANSWER IS "NONE," SO STATE.	Middle: Last:			
2.	Have you ever used any other name, including first, michael was a support of the	ddle or last name, nickname, maiden name or aliases?			
	Yes No				
	If yes, give the reason if any, if none indicate such, and	provide the full name(s) and date(s) used.			
	nning/Ending Name(s) (s) Used (MM/YY) Specify: First, Middle or Last N	Reason (If none, indicate such) Name			
Note:	Dates provided in response to this question may be app be an overlap of dates when transitioning from one name	roximate. Parties using this form understand that there could be to another.			
3.	Affiant's Social Security Number:				
4.	Government Identification Number if not a U.S. Citizen	1:			
5.	Foreign Student ID# (if applicable):				
6.		of Birth, City:			
7.	Name of Affiant's Spouse (if applicable) :				

Applicant Company N	Jame :			AIC NoEIN:	
8. List your resi	dences for the last to	en (10) years starting	g with your current ad	ldress, giving:	
Beginning/Ending <u>Dates (MM/YY)</u>	Address	<u>City</u>	State/ Province	Country	Postal Code
Note: Dates provide understand the	ed in response to this at there could be an	s question may be ap overlap of dates wh	oproximate, except fo en transitioning from	r current address. Parti one address to another	es using this form
certify under penalty of the best of my knowle		acting on my own l	behalf and that the fo	oregoing statements are	e true and correct to
(2)	Signature of Affiant))	_		
State of:	Coun	ty of:			
The foregoing instrum and:	ent was acknowledg	ged before me this _	day of	, 20 by	<i>I</i> ,
who is personally	known to me, or				
who produced the	following identification	ation:			
[SEAL]				Notary Pt	ıblic
				Printed Notar	ry Name
				My Commissio	on Expires

Applicant Company Name :	NAIC No FEIN:
DISCLOSURE AND AUTHORIZATION CONCE (All states except Californ	RNING BACKGROUND REPORTS nia, Minnesota and Oklahoma)
This Disclosure and Authorization is provided to ye	ou in connection with pending or future application(s) of name]("Company") for licensure or a permit to organize
("Application") with a department of insurance in one or meaning consumer or investigative consumer report (or both) ("Badepartment of insurance in any state where Company puseeking to function as, an officer, member of the board Company or of any business entities affiliated with Comprequired by a department of insurance reviewing any authorization below may contain information bearing on you living and credit standing. The purpose of such Background	nore states within the United States. Company desires to procure a ckground Reports") regarding your background for review by a rsues an Application during the term of your functioning as, or of directors or other management representative ("Affiant") of pany ("Term of Affiliation") for which a Background Report is Application. Background Reports requested pursuant to your character, general reputation, personal characteristics, mode of a Reports will be to evaluate the Application and your background the Background Reports procured under this Disclosure and
them. You may also request more information about the na Company. To obtain contact information regarding CRA [company's	you from the consumer reporting agency ("CRA") that produces ature and scope of such reports by submitting a written request to a or to submit a written request for more information, contact designated person, position, or department, address and
phone].	
Attached for your information is a "Summary of Your Righ	ts Under the Fair Credit Reporting Act."
Disclosure and by my signature below, I consent to the restate where Company files or intends to file an Application such Application and my status as an Affiant. I authorize	inpany as defined above. I have read and understand the above lease of Background Reports to a department of insurance in any and to the Company, for purposes of investigating and reviewing all third parties who are asked to provide information concerning it to CRA retained by Company for purposes of the foregoing or expunged in accordance with law.
Company will, in that event, forward such revocation prom Reports under this Disclosure and Authorization. This Aut	y time by delivering a written revocation to Company and that apply to any CRA that either prepared or is preparing Background horization shall remain in full force and effect until the earlier of rocation as described above, or (iii) twelve (12) months following
A true copy of this Disclosure and Authorization shall be va	alid and have the same force and effect as the signed original.
(Printed Full Name	e and Residence Address)
(Timeed Full Ivania	and residence Address)
(Signature)	(Date)
State of: County of:	
The foregoing instrument was acknowledged before, and:	e me this day of, 20 by
who is personally known to me, or	
who produced the following identification:	
(OD 17.)	
[SEAL]	Notary Public
	Printed Notary Name
	My Commission Expires

Applicant Company Name :	NAIC No FEIN:
DISCLOSURE AND AUTHORIZATION CONCERN (Minnesota and Oklaho	
This Disclosure and Authorization is provided to you in connect [company name] ("Company") for license department of insurance in one or more states within the United Statinvestigative consumer report (or both) ("Background Reports") regarding insurance in any state where Company pursues an Application during the as, an officer, member of the board of directors or other management business entities affiliated with Company ("Term of Affiliation") for which of insurance reviewing any Application. Background Reports requested information bearing on your character, general reputation, personal charapurpose of such Background Reports will be to evaluate the Application extent required by law, the Background Reports procured under this Diconfidential.	sure or a permit to organize ("Application") with a ates. Company desires to procure a consumer or a your background for review by a department of a term of your functioning as, or seeking to function a representative ("Affiant") of Company or of any cha Background Report is required by a department pursuant to your authorization below may contain acteristics, mode of living and credit standing. The and your background as it pertains thereto. To the
You may request more information about the nature and scope of Backgragency ("CRA") by submitting a written request to Company. You sinformation, to [company's designated personal company.	should submit any such written request for more
Attached for your information is a "Summary of Your Rights Under the with a copy of any Background Report procured by Company if you check	
By checking this box, I request a copy of any Background extra charge.	Report from any CRA retained by Company, at no
AUTHORIZATION: I am currently an Affiant of Company as defin Disclosure and by my signature below, I consent to the release of Backg state where Company files or intends to file an Application, and to the Co such Application and my status as an Affiant. I authorize all third partie me to cooperate fully by providing the requested information to CRA re Background Reports, except records that have been erased or expunged in	ground Reports to a department of insurance in any ompany, for purposes of investigating and reviewing as who are asked to provide information concerning etained by Company for purposes of the foregoing
I understand that I may revoke this Authorization at any time by delicompany will, in that event, forward such revocation promptly to any Cl Reports under this Disclosure and Authorization. This Authorization sha (i) the expiration of the Term of Affiliation, (ii) written revocation as designed the date of my signature below.	RA that either prepared or is preparing Background ill remain in full force and effect until the earlier of
A true copy of this Disclosure and Authorization shall be valid and have the	he same force and effect as the signed original.
(Printed Full Name and Residence	e Address)
(Signature)	(Date)
State of: County of:	
The foregoing instrument was acknowledged before me this, and:	day of, 20 by
who is personally known to me, or	
who produced the following identification:	
[SEAL]	Notary Public
	Printed Notary Name
	My Commission Expires

Appli	icant Company Name :	NAIC No FEIN:
	DISCLOSURE AND AUTHO	RIZATION CONCERNING BACKGROUND REPORTS (California)
orgar procu by an funct ("Aff Repo pursu	nize ("Application") with a department of a consumer or investigative consumer by department of insurance in such states ioning as, or are seeking to function as, a fant") of Company or of any business error is required by a department of insural mant to your authorization below may	provided to you in connection with a pending application of [company name]("Company") for licensure or a permit to insurance in one or more states within the United States. Company desires to report (or both)("Background Reports") regarding your background for review where Company is currently pursuing an Application, because you are either officer, member of the board of directors or other management representative affiliated with Company ("Term of Affiliation") for which a Background reviewing any Application. Background Reports will be obtained through [name of CRA, address]("CRA"). Background Reports requested ontain information bearing on your character, general reputation, personal anding. The purpose of such Background Reports will be to evaluate the
Appli under	ication and your background as it perta r this Disclosure and Authorization will b	ns thereto. To the extent required by law, the Background Reports procured emaintained as confidential.
agend	cy ("CRA") by submitting a written remation, to	nature and scope of Background Reports produced by any consumer reporting quest to Company. You should submit any such written request for more [company's designated person]
posit	ion, or department, address and phone	P.
		of Your Rights Under the Fair Credit Reporting Act." You will be provided by Company if you check the box below.
	By checking this box, I request extra charge.	a copy of any Background Report from any CRA retained by Company, at no
may appea have your	also obtain a copy of this file, upon sul aring at the CRA in person or by mail; you personnel available to explain your file	Code, you may view the file maintained on you by the CRA listed above. You mitting proper identification and paying the costs of duplication services, by a may also receive a summary of the file by telephone. The CRA is required to you and the CRA must explain to you any coded information appearing in the accompanied by one other person of your choosing, provided that person
Discl state such me to	osure and by my signature below, I con where Company files or intends to file a Application and my status as an Affiant o cooperate fully by providing the reque	Affiant of Company as defined above. I have read and understand the above ent to the release of Background Reports to a department of insurance in any Application, and to the Company, for purposes of investigating and reviewing I authorize all third parties who are asked to provide information concerning sted information to CRA retained by Company for purposes of the foregoing been erased or expunged in accordance with law.
Comp Repo	pany will, in that event, forward such rev	exation at any time by delivering a written revocation to Company and that ocation promptly to any CRA that either prepared or is preparing Background on. In no event, however, will this authorization remain in effect beyond twelve below.
A tru	e copy of this Disclosure and Authorizati	on shall be valid and have the same force and effect as the signed original.
	(Pr	nted Full Name and Residence Address)
	(Signature)	(Date)
State	of: County of	
7	who is personally known to me, or	me this day of, 20 by, and:
	[SEAL]	Notary Public
		Printed Notary Name
		My Commission Expires